

FINAL SCORE MATRIX

RFQ for Broker Dealer Services SMALL <\$20 million (23-094; 6100016915) Score Summary *FINAL EVALUATION August 31, 2023	Maximum Points	SWBC Investment Services, LLC 9311 San Pedro Avenue, Suite 600 San Antonio, TX 78216	CastleOak Securities, LP 200 Vesey Street, 4th Floor New York, NY 10281	Mischler Financial Group, Inc. 9330 LBJ Freeway, Suite 900 Dallas, TX 75243	Great Pacific Securities 151 Kalmus Drive, Suite H-8 Costa Mesa, CA 92626	Bancroft Capital, LLC 501 Office Center Drive, Suite 130 Fort Washington, PA 19034	Cabrera Capital Markets, LLC 227 W. Monroe Street, Suite 3000 Chicago, IL 60606	Drexel Hamilton, LLC 110 E. 42nd Street, Suite 1502 New York, NY 10017	SAMCO Capital Markets, Inc. 6805 Capitol of Texas Highway, Suite 350 Austin, TX 78731	Moreton Capital Markets, LLC 101 S. 200 E. Suite 300 Salt Lake City, UT 84111	Rice Securities, LLC, dba Rice Financial Products Company 2200 Post Oak Boulevard, Suite 1000 Houston, TX 77056
A - Experience, Background, Qualifications	40	36.00	38.00	35.00	37.00	34.80	36.80	30.60	32.00	31.20	32.00
B - Proposed Plan	45	35.20	41.40	38.20	41.00	37.40	39.80	37.00	29.40	29.60	27.40
A - B Sub-Total	85	71.20	79.40	73.20	78.00	72.20	76.60	67.60	61.40	60.80	59.40
C - Local Preference Program	10	10.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
D - Veteran-Owned Small Business Preference Program	5	0.00	0.00	5.00	0.00	5.00	0.00	5.00	0.00	0.00	0.00
TOTAL SCORE	100	81.20	79.40	78.20	78.00	77.20	76.60	72.60	61.40	60.80	59.40
RANK BASED ON TOTAL SCORE		1	2	3	4	5	6	7	8	9	10

*One evaluation meeting held with no interviews conducted.